

CRP 810 Advanced Planning Theory

Fall 2007, Mondays, 2:30 to 6:00 pm, Goldwin Smith 160, 3 credits
Syllabus

Draft August 25, 2007

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Instructor

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Office Hours: Mondays 9:30-11:30, Wednesdays 11-12. A sign up sheet is outside room 201

Rationale and Aims

The course (a) examines some major bodies of thought and key questions in planning theory and (b) investigates a series of theoretical issues relevant to planning scholars. It focuses on several key issues:

- The scope of planning theory,
- Ideas about good cities and good planning processes, and
- Cultures of planning including the differing politics of planning.

Two weeks at the end are left open for students to select additional readings of interest.

A number of issues cut across these core class topics of scope, the good city, and planning culture. These include:

- Concepts dealt with by most planning theories: power, knowledge, action, values, meaning.
- How theoretical arguments are made in planning: justifications, explanations, and inspirations for practice.
- How theories about the role, practice, and limits of planning fit together with other theories related to action and space such as urban theory, political theory, and the sociology of knowledge.
- How bodies of individual work, and schools of thought, get built.
- Where planning theory is headed.

Courses such as this typically take an approach that is along a continuum. Some focus on work of relevance to the topic of planning but not specifically focused on it. Others emphasize work specifically about planners and planning. This course is closer to the second of these and is focused on a mid-level of thinking about the relationship between knowledge and action.¹

Format

In this course you will read selections from a book each week or a series of articles and discuss them in class. Students are expected to attend class to discuss readings. Assessment will be through short papers, a summary of a couple of classic planning theory articles, and a presentation on based on the subject matter for one of the weeks.

I have chosen readings for the first 11 weeks. We will select the readings for the final two weeks of the semester based on student interests. Readings mix classics in the field with recent work. In several weeks guests who have written readings, or who form the subject of readings, will discuss their work.

¹ Thanks to the various people who helped with this approach—including syllabi by John Forester, Kieran Donaghy, John Friedman, Lois Takahashi, and Susan Fainstein.

Each week will combine a presentation with discussion about the theory topics in relation to the broader body of planning theory and student interests.

Course Requirements

Short papers (70 percent)

In 8 of the 11 weeks with typical readings (i.e. not weeks 1 or 3) you will write a 2-3 page (typed, double-spaced) paper. Grading will be based on the following:

- (a) Outlining the basic themes of the book or articles (50 percent).
- (b) Reflecting on the meaning/relevance of the book for your own scholarly work (50 percent).

These papers are due at the beginning of the class in which we discuss that work. In the long term the papers will provide a record of your impressions of some major works of planning theory. In the short term they will make our class discussions more productive and help you develop your own scholarly "voice". I have no preference about which weeks you skip in terms of papers but you need to do at least 8. You may write more papers if you like--I will count the 8 best toward your grade.

Round-robin (10 percent)

The week of the round-robin you will summarize one to two of the planning theory readings, as allocated the week before, and be prepared to need to present them in class. Summaries will be 1-2 double spaces pages. They will be due at the beginning of the class and should also be available to post on the class web site.

Presentation (20 percent)

At the beginning of semester students will sign up for a 20-40 minute presentation in class dealing with that week's readings and subject matter. Presentations may deal with the themes or concepts dealt with in the readings, an intellectual and/or professional history of the author(s), how the themes and concepts have influenced other scholars, etc. Students will meet with Ann at least 2 weeks in advance of the presentation to arrange an approach. This will not be a heavy-duty performance but should be focused on helping other students understand the work and on promoting discussion. Grading will be fairly coarse but will be based on:

- (a) The quality of your presentation/notes (you'll need to hand your notes in, and they should include a reference list) (50 percent) and
- (b) The quality of the discussion that is provoked (50 percent). In doing this is may be helpful to have handouts or structured discussion exercises.
- (c) If you can format some part of your presentation for Wikipedia you get bonus points.

Course Readings

Books are on reserve and on sale at the Campus Store (the exception in the on-sale list is Marris).

Forester, John. 1999. *The Deliberative Practitioner: Encouraging Participatory Planning Processes*. Cambridge, MA: MIT Press.

Kuhn, Thomas. 1996 (orig. 1962). *The Structure of Scientific Revolutions*. Chicago: University of Chicago

- Marris, Peter. 1987 (orig. 1982). *Meaning and Action: Community Planning and Conceptions of Change*. Second Edition. London: Routledge and Kegan Paul.
- Sanyal, Bishwapriya ed. 2005. *Comparative Planning Cultures*. New York: Routledge.
- Stevens, Garry. 1998. *The Favored Circle*. Cambridge, MA: MIT Press. Read chapters 1, 2, skim 3, 5, 6.
- Wayne C. Booth, Gregory G. Colomb, and Joseph M. Williams. 2003. *The Craft of Research*. Chicago: University of Chicago Press.

Other readings will be available on a Blackboard site and in formats as agreed.

Academic Integrity

Each student in this course is expected to abide by the Cornell University Code of Academic Integrity. Any work submitted by a student in this course for academic credit will be the student's own work.

Accommodations for Students with Disabilities

In compliance with the Cornell University policy and equal access laws, I am available to discuss appropriate academic accommodations that may be required for students with disabilities. Requests for academic accommodations are to be made during the first three weeks of the semester, except for unusual circumstances, so arrangements can be made.

Course Schedule

1. Introduction (Aug 27)

Possible Topics

- Course overview
- The forest and the trees in planning theory
- Purposes of planning theories

Scope of Planning Theory

2. Domain of Planning Theory (Sept 3)

Possible Topics

- Theories in, of, and about planning
- The institutional location of planning theory
- Action
- Rationality and reason
- Personal trajectories and planning theories

Required Readings

Friedmann, John. 1987. *Planning in the Public Domain: From Knowledge to Action*. Princeton, New Jersey: Princeton University Press. Chapters 1 and 2: The Terrain of Planning Theory; Two Centuries of Planning Theory (pages 19-85).

Teitz, Michael. 2007. Planning and the New Institutionalisms. In *Institutions and Planning*, Niraj Verma ed. Amsterdam: Elsevier (pages 17-36).

Other: Allocate presentations.

3. Planning Theory Round Robin—Fifty Years in Three Hours (Sept 10)

Possible Topics

- Planning theory approaches since the 1950s
- Forms of planning theory argumentation

Required Readings

See list at end of schedule for round-robin. Each student will be allocated two +/- readings to summarize for the class. Note that these are not on reserve but they are in the library and in the worst case Ann has copies.

Wayne C. Booth, Gregory G. Colomb, and Joseph M. Williams. 2003. *The Craft of Research*, Chicago: University of Chicago Press. Part III: Making a Claim and Supporting It (pages 85-148—though check pages as my copy is from 1995).

Other: Workshop on making an argument.

4. How Theories Develop (Sept 17)

Possible Topics

- Development of theories—the role of groups
- Developing theories vs. developing practices.
- Scholarship (demonstrating great learning) vs. research (contributing to knowledge) vs. innovation.

Required Readings

Kuhn, Thomas. 1996/1970 (orig. 1962). *The Structure of Scientific Revolutions*. Chicago: University of Chicago Press. Read chapters 1-38 and the postscript (pages 1-34; 174-210); skimming the rest will help but isn't required.

Hopkins, Lewis. 2001. Planning as Science: Engaging Disagreement. *Journal of Planning Education and Research* 20: 399-406.

Ward, Steven. 2002. *Planning the Twentieth-Century City: The Advanced Capitalist World*. Chichester: Wiley. Introduction (pages 1-10).

The Good City/Good Planning Process

5. Physical Cities/Ethics (Sept 24)

Possible Topics

- Forms of the public interest in planning
- Physical planning visions
- Social science (mis)understandings of design ideas
- Possibilities of general theories
- Physical communities and social communities

Required Readings

Fainstein, Susan. 2000. New Directions in Planning Theory. *Urban Affairs Review*, 35, 4: 451-78. (part, to be announced)

Lang, Jon. 2000. Learning from Twentieth Century Urban Design Paradigms. In *Urban Planning in a Changing World*, Robert Freestone ed. London: E&FN Spon (pages 78-97).

Lynch, Kevin. 1982. *Good City Form*. Cambridge, MA: MIT Press. Chapter 5: But is a General Normative Theory Possible? (pages 99-108).

Grant, Jill. 2006. *Planning the Good Community*. London: Routledge. Chapter 3: Theory in New Urbanism (pages 45-80).

6. Social Cities (Oct 1)

Possible Topics

- Social good—needs versus meanings
- Framing social power
- Understanding and action—paradigms, metaphors

Required Readings

- Marris, Peter. 1987 (orig. 1982). *Meaning and Action: Community Planning and Conceptions of Change*. Second Edition. London: Routledge and Kegan Paul. Chapters 1 and 5-7: Introduction; Paradigms, Metaphors; Conclusion (pages 1-14 and 119-164).
- Marris, Peter. 1996. *The Politics of Uncertainty*. London: Routledge. Chapter 10: Planning (pages 131-143).

7. Participatory and Democratic Places (Oct 15)

Possible Topics

- Participatory visions of a good city
- Forms of democracy
- Communicative action, collaborative planning, consensus building
- Communication and power—agenda setting, attention shaping

Required Readings

- Forester, John. 1999. *The Deliberative Practitioner: Encouraging Participatory Planning Processes*. Cambridge, MA: MIT Press. Focus on introduction and chapters 1, 3, 5: Listen to Stories...; Challenges of Mediation...; Beyond Dialogue.
E-Book: http://encompass.library.cornell.edu/cgi-bin/checkIP.cgi?access=gateway_standard%26url=http://encompass.library.cornell.edu/cgi-bin/scripts/ebooks.cgi?bookid=16099
- Umemoto, Karen. 2001. Walking in Another's Shoes: Epistemological Challenges in Participatory Planning. *Journal of Planning Education and Research* 21: 17-31.

Other: Select readings for final weeks; Guest, John Forester.

8: Institutions (Oct 22)

Possible Topics

- Planning institutions
- Urban theory versus planning theory
- Forms of capitalism as contexts for planning

Required Readings

- Beauregard, Robert. 2005. Introduction: Institutional Transformations. *Planning Theory* 4, 3: 203-207.
- Altshuler, Alan and David Luberoff. 2003. *Mega-projects: The Changing Politics of Urban Public Investment*. Washington DC; Brookings Institution Press. Chapter 3: Mega-projects and Urban Theory (pages 45-75).
- Christopherson, Susan and Jennifer Clark. Forthcoming. Power in Firm Networks. *Regional Studies*.

Other: Guest, Susan Christopherson

Planning Cultures/Politics

9. Globalization and Planning Cultures (Oct 29)

Possible Topics

- Planning institutions and cultures internationally
- Globalization and planning theory

Required Readings

Sanyal, Bishwapriya ed. 2005. *Comparative Planning Cultures*. New York: Routledge.
Selections to be announced but likely including chapters by Sanyal, Castells, Banerjee, plus cases of countries of interest to students.
Donaghy, Kieran. Reading to be announced.

Other: Guest, Kieran Donaghy

10. Diverse City (Nov 5)

Possible Topics

- Is a general theory of planning possible?
- Planning as repression and emancipation
- The plural city

Required Readings

Yiftachel, Oren. 1998. Planning and Social Control: Exploring the Dark Side. *Journal of Planning Literature* 12, 4: 395-406.
Sandercock, Leonie. 2003. *Cosmopolis II*. London: Continuum. Chapter 7: Transformative Planning Practices (Pages 157-179—deals with a project by Wendy Sarkissian).

Other: Guest, Wendy Sarkissian

11. Theorizing Professions (Nov 12)

Possible Topics

- Theories of design practice
- Professions, rather than practices, as theoretical focus
- Theories of design practice

Required Readings

Stevens, Garry. 1998. *The Favored Circle: The Social Foundations of Architectural Distinction*. Cambridge, MA: MIT Press. Read chapters 1, 2, skim 3, 5, 6.
Crewe, Katherine and Ann Forsyth. 2003. LandSCAPES: A Typology of Approaches to Landscape Architecture. *Landscape Journal* 22, 1: 37-53.
Other reading to be advised.

Other: Guest, Rolf Pendall

12/13. Two Books (Nov19, 26)

Round-robin Readings—Not on Reserve

1. Banfield, Edward. 1955. 'Note on Conceptual Scheme'. In *Politics, Planning and the Public Interest: The Case of Public Housing in Chicago*. Meyerson, Martin and Edward Banfield. Glencoe: The Free Press. Section on 'Planning.' Pages. 312-322.

2. Lindblom, Charles. Orig. 1959. The Science of Muddling Through. *Public Administration Review*, 19, 79-88.
3. Jacobs, Jane. 1961. *Death and Life of Great American Cities*. New York: Random House. First two chapters.
4. Davidoff, Paul. 1965. Advocacy and Pluralism in Planning. *Journal of the American Institute of Planners* 31, 4: 331-337.
5. Etzioni, Amitai. 1967. Mixed-Scanning: A 'Third' Approach to Decision-Making. *Public Administration Review* 27: 385-392.
6. Arnstein, Sherry. 1969. A Ladder of Citizen Participation. *Journal of the American Institute of Planners* 35, 4: 216-224.
7. Rittel, Horst, and Melvin Webber. 1973. Dilemmas in a General Theory of Planning. *Policy Sciences* 4, 2: 155-169.
8. March, James. 1978. Bounded Rationality, Ambiguity, and the Engineering of Choice. *The Bell Journal of Economics* 9: 587-608.
9. Forester, John. 1982 Planning in the face of power. *Journal of the American Planning Association* 48, 1: 67-80.
10. Krumholz, Norman. Orig. 1982. A Retrospective View of Equity Planning: Cleveland 1969-1989. In Campbell and Fainstein Reader.
11. Klosterman, Richard. Orig. 1985. Arguments for and Against Planning. *Town Planning Review* 56, 1: 5-20.
12. Fogelson, Richard. 1986. *Planning the Capitalist City*. Princeton, NJ: Princeton University Press. Chapter 1: the Problem of Planning.
13. Kaufman, Jerome, and Harvey Jacobs. Orig. 1987. A Public Planning Perspective on Strategic Planning. *Journal of the American Planning Association* 53, 1: 23-33.
14. Moore Milroy, Beth. 1991. Into Postmodern Weightlessness. *Journal of Planning Education and Research* 10, 3: 181-187.
15. Healey, Patsy. 1992. Planning Through Debate. *Town Planning Review*.
16. Sandercock and Forsyth. 1992. A Gender Agenda: New Directions in Planning Theory. *Journal of the American Planning Association*.
17. Checkoway, Barry. 1994. Paul Davidoff and Advocacy Planning in Retrospect. *Journal of the American Planning Association* 60, 2: 139-143.
18. Fainstein, Susan S., and Norman Fainstein. 1996. City Planning and Political Values: An Updated View. In Scott Campbell and Susan Fainstein eds. *Readings in Planning Theory*, Cambridge, MA: Basil Blackwell.
19. Innes, Judith. 1996. Planning Through Consensus Building. *Journal of the American Planning Association* 62, 4: 460-472.
20. Campbell, Scott. 1996. Green Cities, Growing Cities, Just Cities? *Journal of the American Planning Association* 62, 3: 296-312.

Additional Resource Readings By Week

2. Domain of Planning Theory

- Thomas, Huw. 2004. What Future for British Planning Theory? *Planning Theory* 3, 3: 189-198.
- Friedmann, John. 2003. Why do Planning Theory? *Planning Theory* 2, 1: 7-10.
- Taylor, Nigel. 1998. *Urban Planning Theory Since 1945*. London: Sage.
- Myers, Dowell. 1997. Anchor Points for Planning's Identification. *Journal of Planning Education and Research* 16, 3: 223-224.
- Fainstein, Susan. 2005. Planning Theory and the City. *Journal of Planning Education and Research* 25: 121-130.

3. Planning Theory Round-Robin (see above)

4. How Theories Develop

- Healey, Patsy, and Tim Shaw. 1994. Changing meanings of 'environment' in the British planning system. *Transactions of the Institute of British Geographers* 19, 4: 425-438.
- Forsyth, Ann and Katherine Crewe. 2006. Research in Environmental Design: Definitions and Limits. *Journal of Architectural and Planning Research* 23, 2: 160-175.

5. Physical Cities

- Campbell, Scott. 1996. Green Cities, Growing Cities, Just Cities? *Journal of the American Planning Association* 62, 3: 296-312.
- Forsyth, Ann. 1997. Five Images of a Suburb: Perspectives on a New Urban Development. *Journal of the American Planning Association* 63, 1: 45-60.
- Forsyth, Ann. 1999. Soundbite Cities: Imagining Futures in Debates Over Urban Form. *Journal of Architectural and Planning Research* 16, 1: 33-51.
- Harvey, David. 2000. *Spaces of Hope*. Berkeley: University of California Press.
- Lang, Jon. 2005. *Urban Design: A Typology of Procedures and Products*. Oxford: Architectural Press.
- Leopold, Aldo. 1949. *A Sand County Almanac* Oxford: Oxford University Press. Chapter on The Land Ethic (201-226).
- Rabinow, Paul. 1989. *French Modern: Norms and Forms of the Social Environment*. Cambridge, Massachusetts: MIT Press.
- Shane, Graham. 2004. The emergence of landscape urbanism. *Harvard Design Magazine* 19: 1-8. http://www.gsd.harvard.edu/research/publications/hdm/back/19_onlandscape.html

6. Social Cities

- Peattie, Lisa. 1987. *Planning: Rethinking Ciudad Guayana*. Ann Arbor: University of Michigan Press.

7. Participatory and Democratic Places

- Innes, Judith. 2004. Consensus Building: Clarifications for the Critics. *Planning Theory* 3, 1: 5-20.
- Healey, Patsy. 1992. Planning Through Debate: The Communicative Turn in Planning Theory. *Town Planning Review* 63, 2: 143-62.
- Healey, Patsy. 2003. Collaborative Planning in Perspective. *Planning Theory* 2, 2: 101-123.
- Reich, Robert. 1988. Policy Making in a Democracy. In *The Power of Public Ideas*. Robert Reich ed. Cambridge: Ballinger.
- Schon, D. and M. Rein. 1994. *Frame Reflection*. New York: Basic Books.

8. Institutions

- Castells, Manuel. 2000. *Rise of the Network Society*. Second edition. Oxford: Blackwell.
- Castells, Manuel. 2000. *End of Millennium*. 2nd edition. Oxford: Blackwell.
- Flyvbjerg, Bent. 1998. *Rationality and Power*. Chicago: University of Chicago Press.
- Storper, Michael. 1997. *The Regional World*. New York: The Guilford Press. Chapter 9: The World of the City: Local Relations in a Global Economy.

9. Globalization and Planning Cultures

- Gleeson, Brendan and Nicholas Lowe. 2000. Is Planning History? In *Urban Planning in a Changing World*, Robert Freestone ed. London: E&FN Spon (pages 269-284).
- Sanyal, Bish. 2002. Globalization, Ethical Compromise and Planning Theory. *Planning Theory* 1, 2: 116-123.
- Watson, Vanessa. 2002. The Usefulness of Normative Planning Theories in the Context of Sub-Saharan Africa. *Planning Theory* 1, 1: 27-52.
- Yiftachel, Oren. 2006. Re-engaging Planning Theory? Towards 'South-Eastern' Perspectives. *Planning Theory* 5, 3: 211-222.

10. Diverse City

- Marcuse, Peter. 1997. The Enclave, the Citadel, and the Ghetto: What Has Changed in the Post-Fordist US City. *Urban Affairs Review* 33, 2: 228-264.
- Moore Milroy, Beth. 1989. Constructing and Deconstructing Plausibility. *Environment and Planning D* 7: 313-326.
- Rahder, Barbara and Carol Altilia. 2004. Where is Feminism in Planning Going? Appropriation or Transformation? *Planning Theory* 3, 2: 107-116.
- Scott, Joan. 1988. Deconstructing Equality Versus Difference; or, the Uses of Poststructuralist Theory for Feminism. *Feminist Studies* 14, 1: 33-50.
- Young, Iris Marion. *Inclusion and Democracy*. Oxford: Oxford University Press, 2000.
- Young, Iris. 1990. *Justice and the Politics of Difference*. Princeton: Princeton University Press. Chapter 8.

11. Theorizing Professions

- Forsyth, Ann. 1999. Administrative Discretion and Urban and Regional Planners Values. *Journal of Planning Literature*. 14, 1: 5-15.
- Wachs, Martin. 1985. Ethical Dilemmas in Forecasting for Public Policy. In *Ethics in Planning*. Martin Wachs ed. New Brunswick: Center for Urban Policy Research.